PTO/SB08A/B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031
Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

work Reduction of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

RAGERIAL for form 1449A/PTO

(use as many sheets as necessary)

1 of 2 SHEET

Under the A

| COMPI | ETE IF KNOWN |
|------------------------|--------------------------------|
| Application Number | 10/789,841 |
| Confirmation Number | 8230 |
| Filing Date | February 26, 2004 |
| First Named Inventor | Alessandro Corona, III, et al. |
| Group Art Unit | 1751 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 9178M |

U. S. PATENT DOCUMENTS

| EXAMINER INITIALS' | Cite No.1 | DOCUMENT NUMBER | Publication Date | Name of Patentee or Applicant of | Pages, Columns, Lines Where Relevant Passages or Relevant |
|-----------------------|--------------|--|------------------|----------------------------------|--|
| | L | Number - Kind Code ² (If known) | MM-DD-YYYY_ | Cited Document | Figures Appear |
| Y | 1 | US-3,892,681 | 07-01-1975 | Edwards et al. | |
| | 2 | US-4,162,983 | 07-31-1979 | Johnson | |
| | 3 | US-4,165,290 | 08-21-1979 | Johnson et al. | |
| | 4 | US-4,178,254 | 12-11-1979 | Leikhim et al. | |
| | 5 | US-4,179,382 | 12-18-1979 | Rudkin et al. | <u> </u> |
| | 6 | US-4,789,491 | 12-06-1988 | Chang, et al. | |
| | 7 | US-4,885,102 | 12-05-1989 | Yamamura, et al. | |
| | 8 | US-4,818,242 | 04-04-1989 | Dieter, et al. | |
| | 9 | US-4,964,915 | 10-23-1990 | Blixt et al. | |
| | 10 | US-5,061,385 | 10-29-1991 | Duffin | |
| | 11 | US-5,085,857 | 02-1992 | Reid, et al. | |
| | 12 | US-Re. 34,062 | 09-15-1992 | Wells | |
| | 13 | US-5,246,694 A | 09-1993 | Birthwistle, | |
| | 14 | US-5,460,736 | 10-24-1995 | Trinh, et al. | |
| | 15 | US-5,468,398 | 11-21-1995 | Farooq, et al. | |
| | 16 | US-5,501,806 A | 03-26-1996 | Farooq, et al. | |
| | 17 | US-5,545,350 A | 08-13-1996 | Baker, et al. | |
| | 18 | US-5,612,307 A | 03-1997 | Chambers, et al. | |
| | 19 | US-5,747,443 A | 05-05-1998 | Wahi, et al. | |
| | 20 | US-5,861,370 A | 01-19-1999 | Trinh, et al. | |
| | 21 | US-5,916,863 A | 06-1999 | lacobucci, et al. | |
| | 22 | US-6,444,023 B1 | 09-03-2002 | Wilsberg | |
| 1 | 23 | US-6,492,322 B1 | 12-10-2002 | Cooper, et al. | |
| 1 | 24 | US-6,797,688 | 09-28-2004 | Cooper, et al. | |
| 10 | 25 | 2004/0235707A1 | 11-25-2004 | Cooper, et al. | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INTIALS* | Cite No.' | FOREIGN PATENT DO Country Code ³ Number ⁴ | CUMENT Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T [©] |
|-------------------|--------------|--|---|--------------------------------|--|--|----------------|
| 14/ | 1 | EP 0 004 110 A1 | | 09-19-1979 | | | |
| | 2 | EP 0 165 138 A2 | | 12-18-1985 | | | • |
| | 3 | EP 0 293 953 A1 | | 12-07-1988 | | | |
| | 4 | EP 0 394 133 A1 | | 10-24-1990 | | | |
| | 5 | WO 90/12862 A1 | | 11-01-1990 | | | |
| i | 6 | EP 432,951 A2 | | 06-19-1991 | | | |
| | 7 | EP 0 239 910 B1 | | 10-07-1992 | | | |
| | 8 | EP 0 596 580 A1 | | 05-11-1994 | | | |
| | 9 | WO 94/20597 A1 | | 09-15-1994 | | | |
| | 10 | EP 0 299 787 B1 | | 03-01-1995 | | | |
| | 11 | EP 0 643 038 A2 | | 03-15-1995 | | | |
| | 12 | EP 0 648 835 A1 | | 04-19-1995 | | | |
| | 13 | WO 95/05442 A1 | | 02-23-1995 | | | |
| | 14 | WO 95/05443 A1 | | 02-23-1995 | | | |
| 01 | 15 | WO 95/24460 A1 | | 09-14-1995 | | | |
| | 16 | DE 44 35 386 A1 | | 04-11-1996 | | | <u> </u> |

| | 17 | WO 96/11248 A1 | 04-18-1996 |
|---|-----|-----------------|------------|
| 2 | 18 | WO 96/29980 A1 | 10-03-1996 |
| | 19 | JP 8-337971 | 12-24-1996 |
| | 20 | WO 97/08285 A1 | 03-06-1997 |
| | 21 | EP 0 571 086 B1 | 04-09-1997 |
| | 22 | EP 0 596 580 B1 | 04-16-1997 |
| | 23 | EP 0 394 133 B1 | 08-27-1997 |
| | 24 | WO 97/42289A1 | 11-13-1997 |
| | 25 | EP 0 811 680 A1 | 12-10-1997 |
| | 26_ | EP 0 822 287 A1 | 02-04-1998 |
| | 27 | JP 10-316949 A | 12-02-1998 |
| 1 | 28 | JP 10-316950 A | 12-02-1998 |
| | 29 | JP 10-316951 A | 12-02-1998 |
| | 30 | WO 98/16538 A1 | 04-23-1998 |
| | 31_ | WO 98/23715 A1 | 06-04-1998 |
| | 32 | BR 9702031 A | 12-22-1998 |
| | 33 | EP 0 792 337 B1 | 02-03-1999 |
| | 34 | WO 99/43777 A1 | 09-02-1999 |
| | 35 | WO 00/75192 A1 | 12-14-2000 |
| | 36 | WO 01/46361 A1 | 06-28-2001 |
| 0 | 37 | WO 01/81524 A1 | 11-01-2001 |
| | 38 | EP 0 799 887 B1 | 06-11-2003 |

NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIALS' | Cite No.1 | include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | | | | | |
|-----------------------|--------------|--|--|-----------------|--------|--|--|--|
| | | | PROF. DR. J. FALBE, Cosmetics and Toiletries Guide Compositions, Surfactants in Consumer Products, 1987, Springer-Verlag Heidleberg, Germany | | | | | |
| EXAMINER | 1 | Hond | e e | DATE CONSIDERED | 122/05 | | | |

EXAMINER: Initial if (reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw life through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box -+ [+]

PTO/SB08A/B (04-03) Approved for use through 04/30/2003. OMB 0651-0031

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449A/PTO

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

COMPLETE IF KNOWN Application Number 10/789.841 Confirmation Number 8230 Filing Date February 26, 2004 First Named Inventor Alessandro Corona, III, et al. Group Art Unit 1751 **Examiner Name** Not Yet Assigned Attorney Docket Number 9178M

SHEET l of 🐠

U. S. PATENT DOCUMENTS

| EXAMINER PHITTALS* | Citie No.1 | DOCUMENT HUMBER Number - Kind Code* (If Icrown) | Publication Date | Name of Patentine or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Relevant |
|-----------------------|---------------|--|------------------|---|--|
| | | | | Cred Octoberg | Figures Appear |

FOREIGN PATENT DOCUMENTS

| | - | FOREIGN PATENT DOCUMENT | T | | Pages, Columns. | |
|-----------------------|--------------|---|-----------------------------|---|---|---|
| EXAMINER INITIALS' | Cite No.1 | Country Code ³ Number ⁴ Kind Code ⁶ (If known) | Publication Date MM-DD-YYYY | Name of Patenties or Applicant of Cited Document | Lines Where Relevant Passages or Relevant Figures Appear | * |
| | ļ | | | • | | |
| <u> </u> | <u> </u> | | <u> </u> | | | |

NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIALS' | City No.1 | brelude name of the author (in CAPITAL LETTERS), the of the article (when appropriate), this of the item (book, magazine, journal, serial, symposium, estatog, etc.), date, page(e), volume-issue number(e), publisher, only and/or country where published | <u></u> |
|-----------------------|--------------|---|---------|
| | | in the Fatty Acid Chain, Volume 1, Fourth Edition, 1979, Reactions of Fat and Fatty Acids pages 114-115, (A Wiley-Interscients Publication, US) | |
| | 1 | MARVIN W. FORMO, ERIC JUNGERMANN, FRANK A. NORRIS, NORMAN O. V. SONNTAG, Reactions in the Fatty Acid Chain, Volume 1, Fourth Edition, 1979, Compositions and Characteristics of Individual Fats and Qile, pages 342,343, (A Wiley-Interscients Publication, US) | |
| EXAMINER | | DATE CONSIDERED G Z405 | 1 |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). *See Kind Codes of U.S. Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CO-PENDING U.S. APPLICATIONS

| Atty. Docket No. | <u>Serial</u> Number | Inventor(s) | Filing Date | Copy in IFW? |
|---------------------|-------------------------|----------------|-------------|--------------|
| 6260CCC | 11/034,478 | Cooper, et al. | 01-13-2005 | Yes |
| | | | | |
| | | | | |
| | | | | |

Hardle 6/22/05